

FORM PTO-1449 (modified)
 To: U.S. Department of Commerce
 (PW FORM PAT-1449)
 Patent and Trademark Office

Atty.
Dkt. No.

M#

Client Ref.

0307661

H8060US

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicant: TOHGI et al.

Appln. No.: Not Assigned

Filing Date: January 14, 2004

Date: January 14, 2004

Page 1 of 1

Examiner: Unknown

Group Art Unit: Unknown

U.S. PATENT DOCUMENTS

Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
	AR	6,570,080	05/2003	HASEGAWA			
	BR						
	CR						
	DR						
	ER						
	FR						
	GR						
	HR						
	IR						
	JR						
	KR						
	LR						
	MR						
	NR						

FOREIGN PATENT DOCUMENTS

		Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract	Translation Readily Available	
						Enclosed	No	Enclose
	OR	10-275186	10/1998	Japan	NAKA	X		
	PR	2001-042866	02/2001	Japan	HASEGAWA	X		
	QR							
	RR							
	SR							
	TR							
	UR							
	VR							
	WR							
	XR							

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

	YR							
	ZR							
	AAR							
	BBR							
	CCR							
	DDR							

Examiner

Date Considered:

9/22/06

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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Complete if Known

Application Number	10/757.121
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Filing Date	01/14/2004
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First Named Inventor	YUTAKA TOHGI
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Art Unit	2837
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Examiner Name	UNKNOWN
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Attorney Docket Number	051270-0307661
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U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

**Examiner
Signature**

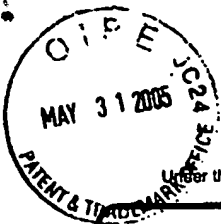
Date Considered

9/22/06

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/757,121
		Filing Date	01/14/2004
		First Named Inventor	YUTAKA TOHGI
		Art Unit	2837
		Examiner Name	UNKNOWN
		Attorney Docket Number	051270-0307661
Sheet	1	of	1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
AW		EUROPEAN PATENT OFFICE, "Search Report" March 03, 2005.	

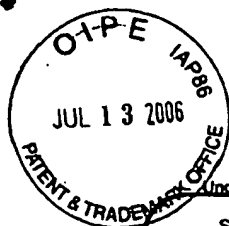
Examiner Signature		Date Considered	9/22/06
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PTO/SB/08a (07-05)
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of

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Complete if Known

Application Number	10/757,121
Filing Date	01/14/2004
First Named Inventor	YUTAKA TOHGI
Art Unit	2837
Examiner Name	UNKNOWN
Attorney Docket Number	051270-0307661

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 2002/0000156	01/03/2002	NISHIMOTO et al.	
		US- 2001/0044848	11/22/2001	KIKUCHI et al.	
		US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		CN 1326144A	12/12/2001	NISHIMOTO et al.		X
		CN 1325207A	12/05/2001	KIKUCHI et al.		X

Examiner
Signature

Date

Considered

8/22/06

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(Use as many sheets as necessary)

Sheet	1	of	1
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Complete if Known

Application Number	10/757,121
Filing Date	01/14/2004
First Named Inventor	YUTAKA TOHGI
Art Unit	2837
Examiner Name	UNKNOWN
Attorney Docket Number	051270-0307661

NON PATENT LITERATURE DOCUMENTS

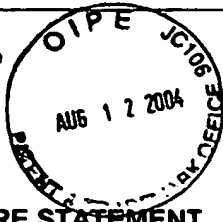
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Examiner Signature		Date Considered	9/22/06
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	051270-0307661	H8060US

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

Applicant: TOHGI et al.	
Appln. No.: 10/757,121	
Filing Date: January 14, 2004	
Examiner: Unknown	Group Art Unit: 2837

Date: August 10, 2004

Page 1 of 1

U.S. PATENT DOCUMENTS

Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
<i>[Signature]</i>	AR	US 2002/0112171 A1	8/15/02	Ginter et al.			1/19/01
<i>[Signature]</i>	BR	US 2003/0182236 A1	9/25/03	Tanaka et al.			3/27/02
	CR						
	DR						
	ER						
	FR						
	GR						
	HR						
	IR						
	JR						

FOREIGN PATENT DOCUMENTS

		Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
						Enclosed	No	Enclose	No
<i>[Signature]</i>	KR	0 679 979 A1	11/1995	Europe	Cooper	X			
<i>[Signature]</i>	LR	WO 02/080067 A2	10/2002	Europe	Tanaka et al.				X
	MR								
	NR								
	OR								
	PR								
	QR								
	RR								
	SR								
	TR								

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

UR	Günnewig, et al., Musik im Hochsicherheitstrakt Digital Rights Management - Stand der Dinge, Ct Magazin Fuer Computer Technik, Verlag Heinz Heise GMBH, July 2002, Issn: 0724-8679, pp. 182-185			
VR	European Search Report	X		
WR				
XR				
YR				
ZR				

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